



INFORMATION AND INSTRUCTIONS FOR SUCCESSOR IN INTEREST

INSTRUCTIONS:

- Upon the death of a permittee who is a sole proprietor, a person who is a descendant or who has an interest in the permit or on bankruptcy, receivership or partnership dissolution must file a successor in interest to operate the business during the **unexpired portion of the permit**.
 - Section 11.10 of the Texas Alcoholic Beverage Commission states “On the death of the permittee or a person having an interest in the permit, or on bankruptcy, receivership, or partnership dissolution, the receiver or successor in interest may apply to the county judge of the county where the licensed premises are located for certification that he is the receiver or successor in interest. On certification, unless good cause for refusal is shown, the commission or administrator shall grant permission by letter or otherwise, for the receiver or successor in interest to operate the business during the **UNEXPIRED PORTION OF THE PERMIT**. The permit **MAY NOT BE RENEWED**, but the receiver or successor in interest may apply for an **ORIGINAL** permit or license. A receiver or successor in interest operating for the unexpired portion of the permit is subject to the provisions of this code relating to the suspension or cancellation of a permit.”
 - An original application must be submitted to your local Texas Alcoholic Beverage Commission (TABC) office. The original must be submitted approximately 45 days prior to the expiration of the license/permit to avoid interruption of the sale of alcoholic beverages.
- A successor in interest can only be granted as a result of bankruptcy, receivership, death or permittee or person having an interest in the permit or partnership dissolution. It **CANNOT** be granted as a result of a divorce decree.
- **MIXED BEVERAGE PERMITS ONLY: Renewal of Permit by Descendant or Surviving Spouse**
- Section 28.05 of the Texas Alcoholic Beverage Code states, “If the surviving spouse or surviving descendant of a holder of a **Mixed Beverage Permit** qualifies as the successor in interest to the permit as provided in Section 11.10 of this code, the descendant or surviving spouse may continue to renew by paying a renewal fee equal to the fee the permittee would be required to pay had he lived.”
- This application must be filed with the County Judge furnishing evidence that the successors are duly entitled to be considered.
- The affiant must complete application and submit it to the County Judge. The County Judge will complete the County Judge’s Authorization of Success in Interest.
- The following may be required for your successor in interest application:
 - Form L-OP – Owner of Property
 - Responsibility Course
 - Former Business Owner’s TABC license/permit
- Each affiant indicated must sign the application and provide their full name, social security number, driver’s license number, date of birth, phone number, email address and residential address. For more than one affiant make a copy of the form and complete the form for the additional affiant.
- The completed application and authorization must be filed at your local TABC office. To locate your local TABC office visit us at <https://www.tabc.texas.gov/contact-us/tabc-offices/>.

COMPLETING FORM L-SI

CURRENT PERMIT INFORMATION

- All information for this section can be found on the license/permit.

Question 1: License/Permit No.

- Enter the current license/permit number
- Examples:
 - Legacy numbers: BG123456 or MB234567
 - AIMS number: 123456789

Question 2: License/Permit Description

- Enter the license/permit description
- Examples:
 - Wine & Malt Beverage & Retailer's Permit
 - Mixed Beverage Permit

Question 3: Expiration Date

- Enter the expiration date of the license/permit

Question 4: Trade name of Location

- Enter the trade name of the business or the doing business as (DBA)

Question 5: Location Address

- Enter the location address of the business. Including the street number, street name, city, county, state and zip code.

Question 6: Name of Former Business Owner

- Enter the former name of the business owner
- Examples:
 - Smith, John (Sole Proprietor)
 - Smith & Jones (Partnership)

AFFIANT INFORMATION

Question 7: How do you qualify as the successor(s) in interest of the said business?

- Enter how you qualify as the successor(s) in interest of the business.
- If due to death, indicate if you are the surviving spouse or descendant.

Question 8: Social Security Number (SSN), Issuing State/DL No. and Date of Birth (mm/dd/yyyy)

- Enter your SSN, date of birth in month, day and year format.

Question 9: Last Name, First Name and MI

- Enter your last name, first name and middle initial.

Question 10: Phone No. and Email Address

- Enter your phone numbers and email address.

Question 11: Residential Address

- Enter your residential address. Include the street number, street name, city, county, state and zip code.

WARNING AND SIGNATURE

- Read the WARNING and print your name, sign your name and enter your title.
- The notary public will enter the day, month and year you appeared before them. The notary public will sign their name and stamp their seal.



The County Judge's Authorization for the Successor in Interest requires this form to be completed in it's entirely.

CURRENT PERMIT INFORMATION

1. License/Permit No.		2. Expiration Date	
3. Trade Name of Location			
4. Location Address			
City	County	State	Zip Code
5. Last Name of Former Business Owner		First Name	MI

AFFIANT INFORMATION

(For multiple affiants use additional copies of this form)

6. How do you qualify as the successor(s) in interest of the business? <input type="checkbox"/> Dissolution of Partnership <input type="checkbox"/> Bankruptcy/Receivership <input type="checkbox"/> Death		If death, indicate if: <input type="checkbox"/> Surviving spouse <input type="checkbox"/> Descendant	
7. Last Name		First Name	
Social Security Number (SSN)		Date of Birth (mm/dd/yyyy)	
8. Phone No.	Alternate Phone	Email Address	
9. Residential Address			
City	County	State	Zip Code

WARNING AND SIGNATURE

WARNING: Section 101.69 of the Texas Alcoholic Beverage Code states: "...a person who knowingly makes a false statement or false representation in an application for a permit or license or in a statement, report, or other instrument to be filed with the commission and required to be sworn commits an offense punishable by imprisonment in the Texas Department of Criminal Justice for not less than 2 nor more than 10 years."

BY SIGNING YOU ARE SWEARING TO ALL INFORMATION AND ATTACHMENTS TO THIS PACKET.

PRINT NAME _____ SIGN HERE _____
TITLE _____

Before me, the undersigned authority, on this _____ day of _____, 20_____, the person whose name is signed to the foregoing application personally appeared and, duly sworn by me, states under oath that he or she has read the said application and that all the facts therein set forth are true and correct.

SIGN HERE _____
NOTARY PUBLIC

SEAL



**TEXAS ALCOHOLIC
BEVERAGE COMMISSION**
Texans Helping Businesses & Protecting Communities

**County Judge's
Authorization of
Successor In Interest**

The State of Texas	§	
The County of: _____	§	
I, _____, County Judge of _____		
County, Texas, Do Certify: That on this _____ day of _____ A.D., 20____, there came on to be heard this application for Successor In Interest. I find the affiant(s) indicated on form L-SI qualifies as the Successor(s) In Interest of this business. It is my recommendation that _____		
		Name of Affiant(s)
as Successor(s) In Interest until the present _____ expires.		
		License/Permit Number
Witness My Signature and Seal of Office on this _____ day of _____ A.D., 20____. To certify		
Seal of Office		Signature
	County Judge	
		_____ County, Texas
COUNTY JUDGE'S OFFICE INFORMATION		
Phone No.	Email Address	
_____	_____	