



CONDUCT SURETY BOND

BOND NUMBER ↓

(1)

(For Surety Company's Use)

KNOW ALL MEN BY THESE PRESENTS:

THAT WE, (2) _____

as PRINCIPAL, and (3) _____

as SURETY, duly authorized and qualified to do business as a surety company in this State, are firmly bound unto THE

STATE OF TEXAS in sum of (4) _____

dollars payable at Austin, Travis County, Texas, and for the payment of which, well and truly to be made, PRINCIPAL binds himself, his heirs, executors and administrators, jointly and severally, or itself, its successors and assigns, and the SURETY binds itself, its successors and assigns, firmly by these presents.

WHEREAS, PRINCIPAL is the holder of (5) _____

(Type of Permit/License – See reverse side)

granted the privileges by said permit to be exercised in the city of (6) _____

(If not located in a city/town, leave blank and insert county name in #7)

(7) _____ County, Texas, pursuant to the provisions of the Texas Alcoholic Beverage Code.

NOW, THEREFORE, THE CONDITION OF THE OBLIGATION is such that the Principal shall faithfully conform with the Texas Alcoholic Beverage Code and Rules of the Commission. If the holder of this permit or license violates a law of the state relating to alcoholic beverages or a rule of the commission, the amount of the bond shall be paid to the state, SUBJECT, HOWEVER, to the following terms and conditions:

1. This bond shall become effective on the date of the issuance of above permit or license by the Texas Alcoholic Beverage Commission and shall remain in full force and effect until cancelled, and thereafter provided, or until such permit or license and succeeding renewals of the permit or license have expired.
2. This bond may be cancelled as to liability for future defaults at any time by the SURETY, upon giving thirty (30) days written notice, in which event the liability of the SURETY shall at the expiration of said thirty (30) days, cease and terminate, it being understood that the SURETY shall be liable for the default of the PRINCIPAL in fully discharging any liability on his or its part as above set forth, accruing during the life of the permit or license, and while this bond is in full force and effect.
3. The liability of the SURETY shall not exceed the amount above stated.

PRINCIPAL

IN TESTIMONY, WHEREOF, said PRINCIPAL has hereunto subscribed his or their names or has cause this instrument to be signed by its duly authorized officers and its corporate seal to be hereunto affixed this date:

_____ A.D., _____

SIGN
HERE _____
(Signature of Principal)

PRINCIPAL MUST BE SHOWN AS: WHO MUST SIGN:

Proprietorship-individual owner	-individual
Partnership-all partners' names	-partner
Corporation-corporate name	-officer
Limited partnership-partnership name & general partner	-general partner
Limited liability partnership-partnership name & all partners	-general partner
Limited liability company-company name	-officer/manager
Private Club-club name, if corporation, corporate name	-officer

SURETY COMPANY

IN TESTIMONY WHEREOF, said SURETY has caused this instrument to be signed by it duly authorized officers and its corporate seal to be hereunto affixed this date:

_____ A.D., _____

SIGN
HERE _____
(Signature of Attorney-in-Fact for Surety Company)

(Surety Company Name)

(Surety Company Mailing Address)

(Surety Company Area Code and Phone Number)

(Agent's Area Code and Phone Number)

(COMPLETE NEXT PAGE)

ACKNOWLEDGMENTS

No. 1 (FOR PRINCIPAL)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared

_____ known to me to be the person whose name is subscribed to the
(Name of Principal)

foregoing instrument, and acknowledged to me that he or she executed the same, for the purposes and considerations therein expressed.

Given under my hand and seal of office, this date _____ A.D., _____

(S E A L) **SIGN HERE** _____
Notary Public

No. 2 (FOR SURETY COMPANY)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared

_____ known to me to be the person whose name is subscribed to the
(Name of Attorney-in-Fact)

foregoing instrument, and acknowledged to me that he or she executed the same as the act and deed of the surety company thereof, and for the purposes and considerations therein expressed, and in the capacity therein stated.

Given under my hand and seal of office, this date _____ A.D., _____

(S E A L) **SIGN HERE** _____
Notary Public

NO. 1 – PRINCIPAL-ACKNOWLEDGMENT

Name of principal who signed the bond must be shown.
Notary public must date, sign the acknowledgment and affix notary seal.

NO. 2 – SURETY COMPANY-ACKNOWLEDGMENT

Name of attorney-in-fact who signed the bond must be shown.
Notary Public must date sign the acknowledgment and affix notary seal.

I N S T R U C T I O N S

- As required under **Section 11.11 and 61.13** of the Texas Alcoholic Beverage Code, this bond must accompany all original applications for the licenses/permits listed below unless you qualify to hold a Food and Beverage Certificate and are in the process of applying for a Food and Beverage Certificate. For renewals, if you hold a Food and Beverage Certificate and are renewing the certificate, you do not have to submit this bond. If the original/renewal application for the Food and Beverage Certificate is not approved, a bond will be required.

Type of Permit	Bond Amount	Type of Permit	Bond Amount
Mixed Beverage Permit (MB)	*\$5,000 or \$10,000	Package Store Permit (P)	\$10,000
Private Club Registration Permit (N)	*\$5,000 or \$10,000	Wine Only Package Store Permit (Q)	\$10,000
Private Club for Beer and Wine Permit (NB)	*\$5,000 or \$10,000	Wine & Beer Retailer's Off Premise Permit (BQ)	\$10,000
Private Club Exemption Certificate Permit (NE)	*\$5,000 or \$10,000		
Wine and Beer Retailer's Permit-Excursion Boat (V)	*\$5,000 or \$10,000		
Wine and Beer Retailer's Permit-Railway Car (Y)	*\$5,000 or \$10,000		
Wine and Beer Retailer's Permit (BG)	*\$5,000 or \$10,000		
Retail Dealer's On Premise License (BE)	*\$5,000 or \$10,000		

Only required if the business is located within 1000 feet of a public school measuring from property line to property line

- *\$5,000/\$10,000 – A bond is required in the amount of \$5,000 unless the business is located within 1,000 feet of a public school measuring from property line to property line. If within 1,000 feet of a school, a bond in the amount of \$10,000 will be required.
- On the face of the bond:

No. (1) must indicate bond number	No. (5) type of permit/license as shown above
No. (2) name of principal	No. (6) city of business location
No. (3) the surety company name	No. (7) county of business location
No. (4) bond amount	
- Ensure the principal date is entered and the principal has signed the bond.
- The attorney-in-fact must date the bond; sign his/her name, enter surety company name, surety mailing address and surety telephone number.
- Power of Attorney authorizing attorney-in-fact to sign for Surety Company must attached.
- This form will not be accepted with any alterations or whiteouts on the face of the bond. Bond riders will be accepted from bonding company to correct errors noted by the Commission. Corrections in the acknowledgments will be accepted if the notary public has initialed the correction made thereon.



IRREVOCABLE LETTER OF CREDIT

(For Conduct Surety Purposes Only)

Irrevocable Letter of Credit No.
(1) _____

Obligation of this security is for compliance with the Alcoholic Beverage Code and Rules of the Texas Alcoholic Beverage Commission. Title 3, Subtitle A, Chapter 11, Subchapter A, Sec. 11.11 and Subtitle B, Chapter 61, Subchapter A, Sec. 61.13 of the Alcoholic Beverage Code 1995, As Amended.

We hereby establish our irrevocable letter of credit in favor of the State of Texas for the account of (2) _____
 _____ doing business as (3) _____
 located (4) _____, (5) _____, Texas,
 under (6) _____ license/permit (7) _____
 This letter of credit is effective up to the aggregate amount of (8) _____
 This letter of credit shall remain in effect until the (9) _____ is
 released or discharged by the Texas Alcoholic Beverage Commission or until the expiration date of (10) _____.
 This is your authority to draw drafts for the full amount of (8) _____. The condition of the
 obligation of this letter of credit is such that the permittee or licensee shall faithfully conform with the Texas Alcoholic Beverage Code
 and rules of the commission. If the holder of this permit or license violates a law of the state relating to alcoholic beverages or a rule of
 the commission, the amount of the letter of credit shall be paid to the state.
 All drafts are to be marked "Drawn under Letter of Credit No. (1) _____."

FOR BANK

SIGN HERE _____
 (Signature of Bank Officer)

 (Title of Bank Officer)

Name of Bank _____
Address _____
City, State, Zip _____
Area Code + Phone No. _____

Before me, the undersigned authority, on this _____ day of _____ A.D., _____
 the bank officer whose name is subscribed to the foregoing instrument personally appeared, and acknowledged to me that he or she
 executed the same as the act and deed of the above referenced bank, for the purposes and considerations therein expressed and in
 the capacity therein stated.

S E A L **SIGN**
HERE: _____
 (Notary Public in and for the State of Texas)

FOR APPLICANT

SIGN HERE _____
 (Signature of Applicant)

Before me, the undersigned authority, on this _____ day of _____ A.D., _____
 the applicant whose name is subscribed to the foregoing instrument personally appeared, and acknowledged to me that he or she
 executed the same for the purposes and considerations therein expressed.

S E A L **SIGN**
HERE: _____
 (Notary Public in and for the State of Texas)

INSTRUCTIONS

- (1) Irrevocable Letter of Credit number
- (2) Name of Applicant:
 - IF: Corporation ----- Corporate name **must** be shown
 - Partnership ----- All partners' names **must** be shown
 - Limited Partnership - Name of limited partnership and general partner **must** be shown
 - Private Club ----- Name of the club **must** be shown--(If Corporation-Corporate name)
 - Proprietorship ----- Name of individual **must** be shown
 - Limited Liability Partnership -- Name of limited liability partnership and all partner's names **must** be shown
 - Limited Liability Company ----- Name of limited liability company **must** be shown
- (3) Trade Name of Business
- (4) Actual Business Address (Not Mail Address)
- (5) City of Business Location
- (6) Type of Permit- (Mixed Beverage Permit, All Types of Private Club Permits, Package Store Permit, Wine Only Package Store Permit, Wine and Beer Retailer's Permit, Beer Retail Dealer's On-Premise License, Wine & Beer Retailer's Off-Premise Permit, Wine & Beer Retailer's Permit-Railway Car, Wine & Beer Retailer's Permit-Excursion Boat)
- (7) License/Permit Number, If Issued
- (8) Amount (\$5,000/\$10,000)
- (9) Name of Bank
- (10) Expiration Date (Must be 42 months from date of issue)

**THIS FORM WILL NOT BE ACCEPTED WITH ANY WHITEOUTS OR ALTERATIONS.
NOTARIZED AMENDMENTS FROM BANK WILL BE ACCEPTED TO CORRECT ERRORS NOTED BY THE COMMISSION.**

TABC USE ONLY Date/Signature of Personnel Verifying Bank Information: _____
 Name of Bank Personnel Contacted: _____



ASSIGNMENT

(FOR CONDUCT SURETY PURPOSES ONLY)

(1) _____ hereinafter called assignor, whose principal place of business is (2) _____

(3) _____, Texas,

do (does) hereby assign, and set over the Texas Alcoholic Beverage Commission of the State of Texas, all right, title and interest of whatever nature, of assignor, in and to the insured account of assignor in the (4) _____

_____ evidenced by (5) _____

in the amount of (6) _____ \$ _____ numbered (7) _____

Assignor agrees the this assignment carries with it the right to the insurance of the account by the (8) _____ and includes and gives the right to the Administrator of the Texas Alcoholic Beverage Commission of the State of Texas to redeem, collect, and withdraw the full amount of such account at any time WITHOUT NOTICE TO THE ASSIGNOR. The condition of the obligation of this assignment is such that the permittee or licensee shall faithfully conform with the Texas Alcoholic Beverage Code and Rules of the commission. If the holder of this permit or license violates a law of the state relating to alcoholic beverages or a rule of the commission, the amount of the certificate of deposit shall be paid to the state.

Assignor hereby notifies the above named (4) _____ of the assignment.

Date _____ SIGN HERE _____
(Signature of Assignor)

RECEIPT FOR NOTICE OF ASSIGNMENT

Receipt is acknowledged to the Administrator of the Texas Alcoholic Beverage Commission of the State of Texas of written notice of the assignment to said State of Texas of the account identified above. We have noted in our records the State's interest in said account as shown by the above assignment. We certify that we have received no notice of any lien, encumbrance, hold, claim, or obligation of the above-identified account prior to assignment to the State of Texas. We agree to make payment by mail to the State of Texas upon demand by mail in accordance with the laws applicable to this (9) _____.

Date _____ Name of Bank _____
SIGN HERE _____ Address _____
(Signature of Bank Officer) City, State, Zip _____

(Title of Bank Officer) Area Code & Phone No. _____

INSTRUCTIONS

- (1) Name of Applicant: IF: Corporation ----- Corporate name **must** be shown
- Partnership ----- All partners' names **must** be shown
- Limited Partnership ----- Name of limited partnership and general partner **must** be shown
- Private Club ----- Name of the club **must** be shown-(If Corporation-Corporate name)
- Proprietorship ----- Name of individual **must** be shown
- Limited Liability Partnership ----- Name of limited liability partnership and all partners' names **must** be shown
- Limited Liability Company ----- Name of limited liability company **must** be shown

- (2) Trade Name of Business
- (3) Actual Business Address and City (Not Mail Address)
- (4) Name of Bank, association or credit union
- (5) Description of automatically renewable time Certificate of Deposit or Savings Account
- (6) Amount in words and figures
- (7) Number of C.D. or Savings Account
- (8) Name of U.S. Agency insuring deposit
- (9) Bank, association or credit union

THE ASSIGNMENT OF THIS CERTIFICATE OF DEPOSIT OR SAVINGS ACCOUNT PASSBOOK PROHIBITS THE BANK FROM DISPERSING THE PRINCIPAL MONIES ASSIGNED TO THE TEXAS ALCOHOLIC BEVERAGE COMMISSION UNTIL RELEASED OR DISCARDED, IN WRITING, BY THE TEXAS ALCOHOLIC BEVERAGE COMMISSION.

ACKNOWLEDGMENTS

No. 1 (FOR ASSIGNOR)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the
(Name of Assignor)
foregoing instrument, and acknowledged to me that he or she executed the same, for the purposes and considerations therein expressed.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

SIGN
HERE _____

Notary Public

(S E A L)

No. 2 (FOR BANK OR SAVINGS ASSOCIATION)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the
(Name of Bank Officer)
foregoing instrument, and acknowledged to me that he or she executed the same as the act and deed of the surety company thereof, and for the purposes and considerations therein expressed, and in the capacity therein stated.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

SIGN
HERE _____

Notary Public

(S E A L)

NO. 1 – ASSIGNOR

Name of assignor (permittee/licensee) who signed the assignment must be shown.

Notary public must date, sign the acknowledgment and affix notary seal.

NO. 2 – BANK OR SAVINGS ASSOCIATION

Name of bank officer who signed the assignment must be shown.

Notary Public must date sign the acknowledgment and affix notary seal.

INFORMATION

1. As required under **Section 11.11** and **61.13**, this assignment form may only be used for Conduct Surety purposes and the certificate of deposit or savings account must be issued by a Texas bank, savings institution or credit union.
2. Upon expiration of a license or permit, its voluntary cancellation, or upon the applicant's subsequent approval for exemption from the surety requirements, the licensee or permittee may request (in writing) the release and return of the security supporting their license or permit.
3. The release of this security will not be unreasonably withheld; however, the bank, savings institution or credit union is not released from its obligation until they receive written notice of the release from this agency.



PERFORMANCE BOND

BOND NUMBER ↓

(1)

(For Surety Company's Use)

KNOW ALL MEN BY THESE PRESENTS:

THAT WE, (2) _____

as PRINCIPAL, and (3) _____

as SURETY, duly authorized and qualified to do business as a surety company in this State, are firmly bound unto THE

STATE OF TEXAS in sum of (4) _____

dollars payable at Austin, Travis County, Texas, and for the payment of which, well and truly to be made, PRINCIPAL binds himself, his heirs, executors and administrators, jointly and severally, or itself, its successors and assigns, and the SURETY binds itself, its successors and assigns, firmly by these presents.

WHEREAS, PRINCIPAL is the holder of (5) _____

(Type of Permit/License – See reverse side)

granted the privileges by said permit to be exercised in the city of (6) _____

(If not located in a city/town, leave blank and insert county name in #7)

(7) _____ County, Texas, pursuant to the provisions of the Texas Alcoholic Beverage Code.

NOW, THEREFORE, THE CONDITION OF THE OBLIGATION is such that the Principal shall faithfully conform with the Texas Alcoholic Beverage Code and Rules of the Commission. If the holder of this permit or license violates a law of the state relating to alcoholic beverages or a rule of the commission, the amount of the bond shall be paid to the state, SUBJECT, HOWEVER, to the following terms and conditions:

1. This bond shall become effective on the date of the issuance of above permit or license by the Texas Alcoholic Beverage Commission and shall remain in full force and effect until cancelled, and thereafter provided, or until such permit or license and succeeding renewals of the permit or license have expired.
2. This bond may be cancelled as to liability for future defaults at any time by the SURETY, upon giving thirty (30) days written notice, in which event the liability of the SURETY shall at the expiration of said thirty (30) days, cease and terminate, it being understood that the SURETY shall be liable for the default of the PRINCIPAL in fully discharging any liability on his or its part as above set forth, accruing during the life of the permit or license, and while this bond is in full force and effect.
3. The liability of the SURETY shall not exceed the amount above stated.

PRINCIPAL	SURETY COMPANY
<p>IN TESTIMONY, WHEREOF, said PRINCIPAL has hereunto subscribed his or their names or has cause this instrument to be signed by its duly authorized officers and its corporate seal to be hereunto affixed this date:</p>	<p>IN TESTIMONY WHEREOF, said SURETY has caused this instrument to be signed by it duly authorized officers and its corporate seal to be hereunto affixed date:</p>
<p>_____ A.D., _____</p>	<p>_____ A.D., _____</p>
<p>SIGN HERE _____ (Signature of Principal)</p>	<p>SIGN HERE _____ (Signature of Attorney-in-Fact for Surety Company)</p>
<p>PRINCIPAL MUST BE SHOWN AS:</p>	<p>_____ (Surety Company Name)</p>
<p>WHO MUST SIGN:</p>	<p>_____ (Surety Company Mailing Address)</p>
<p>Proprietorship-individual owner -individual</p>	<p>_____ (Surety Company Area Code and Phone Number)</p>
<p>Partnership-all partners' names -partner</p>	<p>_____ (Agent's Area Code and Phone Number)</p>
<p>Corporation-corporate name -officer</p>	
<p>Limited partnership-partnership name & general partner -general partner</p>	
<p>Limited liability partnership-partnership name & all partners -general partner</p>	
<p>Limited liability company-company name -officer/manager</p>	
<p>Private Club-club name, if corporation, corporate name -officer</p>	

(COMPLETE NEXT PAGE)

ACKNOWLEDGMENTS

No. 1 (FOR PRINCIPAL)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the
(Name of Principal)
foregoing instrument, and acknowledged to me that he or she executed the same, for the purposes and considerations therein expressed.

Given under my hand and seal of office, this date _____ A.D., _____

(S E A L)

SIGN
HERE _____

Notary Public

No. 2 (FOR SURETY COMPANY)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the
(Name of Attorney-in-Fact)
foregoing instrument, and acknowledged to me that he or she executed the same as the act and deed of the surety company thereof, and for the purposes and considerations therein expressed, and in the capacity therein stated.

Given under my hand and seal of office, this date _____ A.D., _____

(S E A L)

SIGN
HERE _____

Notary Public

NO. 1 – PRINCIPAL-ACKNOWLEDGMENT

Name of principal who signed the bond must be shown.
Notary public must date, sign the acknowledgment and affix notary seal.

NO. 2 – SURETY COMPANY-ACKNOWLEDGMENT

Name of attorney-in-fact who signed the bond must be shown.
Notary Public must date sign the acknowledgment and affix notary seal.

I N S T R U C T I O N S

- As required under **Section 11.61(23)(b-1)**, of the Texas Alcoholic Beverage Code, this bond must accompany all original applications and renewal applications for the licenses/permits listed below if you are located in a County with a population of 1.4 million or more (Bexar, Dallas, Harris, and Tarrant Counties) unless you are an establishment, which holds a Food and Beverage Certificate, whose primary business is food service.

Type of Permit	Bond Amount
Wine and Beer Retailer's Permit (BG)	\$2,000 or \$4,000 or \$6,000*
Beer Retailer's On Premise License (BE)	\$2,000 or \$4,000 or \$6,000*

*An initial Performance Bond in the amount of \$2,000 is required. In the event the first bond is forfeited to the Commission, a licensee or permittee must file a second bond in the amount of \$4,000 before a license or permit may be reinstated. In the event the second bond is forfeited to the Commission, a licensee or permittee must file a third bond in the amount of \$6,000 before a license or permit may be reinstated.

NOTE: Bond will remain posted unless forfeited, or until license/permit is expired, or voluntarily cancelled.

- On the face of the bond:

No. (1) must indicate bond number,	No. (5) type of permit as shown above
No. (2) name of principal,	No. (6) city of business location
No. (3) the surety company name,	No. (7) county of business location.
No. (4) bond amount,	
- Ensure the principal date is entered and the principal has signed the bond.
- The attorney-in-fact must date the bond; sign his/her name, enter surety company name, surety mailing address and surety telephone number.
- Power of Attorney authorizing attorney-in-fact to sign for surety company must be attached.
- This form will not be accepted with any alterations or whiteouts on the face of the bond. Bond riders will be accepted from bonding company to correct errors noted by the Commission. Corrections in the acknowledgments will be accepted if the notary public has initialed the correction made thereon.



ASSIGNMENT

(FOR PERFORMANCE BOND PURPOSES ONLY)

L 2-65
(01/2018)

(1) _____ hereinafter called assignor, whose principal place of business is (2) _____

(3) _____, Texas,

do (does) hereby assign, and set over the Texas Alcoholic Beverage Commission of the State of Texas, all right, title and interest of whatever nature, of assignor, in and to the insured account of assignor in the (4) _____

_____ evidenced by (5) _____

in the amount of (6) _____ \$ _____ numbered (7) _____

Assignor agrees that this assignment carries with it the right to the insurance of the account by the (8) _____ and includes and gives the right to the Administrator of the Texas Alcoholic Beverage Commission of the State of Texas to redeem, collect, and withdraw the full amount of such account at any time WITHOUT NOTICE TO THE ASSIGNOR. The condition of the obligation of this assignment is such that the permittee or licensee shall faithfully conform with the Texas Alcoholic Beverage Code and Rules of the commission. If the holder of this permit or license violates a law of the state relating to alcoholic beverages or a rule of the commission, the amount of the certificate of deposit shall be paid to the state.

Assignor hereby notifies the above named (4) _____ of the assignment.

Date _____ SIGN HERE _____
(Signature of Assignor)

RECEIPT FOR NOTICE OF ASSIGNMENT

Receipt is acknowledged to the Administrator of the Texas Alcoholic Beverage Commission of the State of Texas of written notice of the assignment to said State of Texas of the account identified above. We have noted in our records the State's interest in said account as shown by the above assignment. We certify that we have received no notice of any lien, encumbrance, hold, claim, or obligation of the above-identified account prior to assignment to the State of Texas. We agree to make payment by mail to the State of Texas upon demand by mail in accordance with the laws applicable to this (9) _____.

Date _____ Name of Bank _____
SIGN HERE _____ Address _____
(Signature of Bank Officer) City, State, Zip _____
(Title of Bank Officer) Area Code & Phone No. _____

INSTRUCTIONS

- (1) Name of Applicant: IF: Corporation ----- Corporate name **must** be shown
- Partnership ----- All partners' names **must** be shown
- Limited Partnership ----- Name of limited partnership and general partner **must** be shown
- Private Club ----- Name of the club **must** be shown-(If Corporation-Corporate name)
- Proprietorship ----- Name of individual **must** be shown
- Limited Liability Partnership ----- Name of limited liability partnership and all partners' names **must** be shown
- Limited Liability Company ----- Name of limited liability company **must** be shown
- (2) Trade Name of Business
- (3) Actual Business Address and City (Not Mail Address)
- (4) Name of Bank, association or credit union
- (5) Description of automatically renewable time Certificate of Deposit or Savings Account
- (6) Amount in words and figures (\$2,000/\$4,000/\$6,000)
- (7) Number of C.D. or Savings Account
- (8) Name of U.S. Agency insuring deposit
- (9) Bank, association or credit union
- (10) An initial Performance Bond in the amount of of \$2,000 is required. In the event the first bond is forfeited to the Commission, a licensee or permittee must file a second bond in the amount of \$4,000 before a license or permit may be reinstated. In the event the second bond is forfeited to the Commission, a licensee or permittee must file a third bond in the amount of \$6,000 before a license or permit may be reinstated.

THE ASSIGNMENT OF THIS CERTIFICATE OF DEPOSIT OR SAVINGS ACCOUNT PASSBOOK PROHIBITS THE BANK FROM DISPERSING THE PRINCIPAL MONIES ASSIGNED TO THE TEXAS ALCOHOLIC BEVERAGE COMMISSION UNTIL RELEASED OR DISCARDED, IN WRITING, BY THE TEXAS ALCOHOLIC BEVERAGE COMMISSION.

ACKNOWLEDGMENTS

No. 1 (FOR ASSIGNOR)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the _____
(Name of Assignor)
foregoing instrument, and acknowledged to me that he or she executed the same, for the purposes and considerations therein expressed.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

SIGN
HERE

(S E A L)

Notary Public in and for the State of Texas

No. 2 (FOR BANK OR SAVINGS ASSOCIATION)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the _____
(Name of Bank Officer)
foregoing instrument, and acknowledged to me that he or she executed the same as the act and deed of the surety company thereof, and for the purposes and considerations therein expressed, and in the capacity therein stated.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

SIGN
HERE

(S E A L)

Notary Public in and for the State of Texas

NO. 1 – ASSIGNOR

Name of assignor (permittee/licensee) who signed the assignment must be shown.
Notary public must date, sign the acknowledgment and affix notary seal.

NO. 2 – BANK OR SAVINGS ASSOCIATION

Name of bank officer who signed the assignment must be shown.
Notary Public must date sign the acknowledgment and affix notary seal.

INFORMATION

1. As required under **Section 11.61(23)(b-1)**, of the Texas Alcoholic Beverage Code, this assignment form may only be used for Performance Bond purposes if located in a County with a population of 1.4 million or more (Bexar, Dallas, Harris, and Tarrant Counties) unless you are an establishment, which holds a Food and Beverage Certificate, whose primary business is food service, and the certificate of deposit or savings account must be issued by a Texas bank, or savings institution.
2. Upon expiration of a license or permit, or its voluntary cancellation, the licensee or permittee may request (in writing) the release and return of the security supporting their license or permit.
3. The release of this security will not be unreasonably withheld; however, the bank, or savings institution is not released from its obligation until they receive written notice of the release from this agency.